NATIONAL TAIWAN OCEAN UNIVERSITY IMPLEMENTATION GUIDELINES FOR DOCTORAL QUALIFYING EXAMINATION

Approved in the Meeting of the Academic Affairs Committee on March 25, 2004 Approved for reference pursuant to the Ministry of Education's Official Letter No. 0930067454 on May 24, 2004 Approved for amendment in the provisional meeting of Academic Affairs Committee on Aug. 20, 2004 Approved for reference by the Ministry of Education's Official Letter No. 0930148923 on Nov. 16, 2004 Promulgated pursuant to NTOU Order No. 0930010215A on Nov. 26, 2004 Approved for amendment in the Second Meeting of the Academic Affairs Committee in the Second Semester of Academic Year 2006/07 on June 25, 2007 (Amendment of Articles 5 and 8) Approved for reference pursuant to the Ministry of Education's Official Letter No. 0960151478 on Oct. 15, 2007 Promulgated pursuant to NTOU Order No. 0960011481 on Oct. 22, 2007

Article 1

The implementation guidelines are enacted pursuant to the Degree Conferral Law and its Enforcement Rules for the purpose of enhancing the research and professional skills of doctoral students and equipping them with the necessary academic capacity and disposition.

Article 2

A doctoral student, after undergoing strict evaluation, shall pass the Doctoral Qualifying Examination and meet all requirements of the doctoral degree candidate set forth in the NTOU's Rules Governing the Master's and Doctoral Degree Examinations before the student may be nominated by the department/graduate institute where the student is enrolled as a doctoral candidate.

Article 3

The request for the Doctoral Qualifying Examination may be made only after the outline and basic content of the dissertation proposal are prepared. Moreover, the request shall be approved upon the approval of at least two thirds (inclusive) of the Committee members of the Qualifying Examination. Request for the Doctoral Qualifying Examination should be made only once per student per semester.

Article 4

The Qualifying Examination shall take the form of an oral defense of the dissertation proposal. Moreover, the Qualifying Examination may be conducted together with the written examination.

Each department/graduate institute shall organize a committee to attend to

the examination-related matters.

<u>Article 5</u>

The organization of a committee of the Doctoral Qualifying Examination shall be processed in accordance with the following provisions:

- 1. A committee of the Doctoral Qualifying Examination shall consist of five to nine members, among which the number of external members should take up one third of the entire committee members. One of the committee members shall be designated as the convener by the President of the National Taiwan Ocean University; however, an advisor should not assume the post of the committee convener.
- 2. A member of the committee of the Doctoral Qualifying Examination shall specialize in the field related to the academic discipline, creative work, performance or technical report of a doctoral candidate, as well as possess any of the following qualifications:
 - (1) a professor
 - (2) an academician or research fellow of the Academic Sinica
 - (3) an associate professor, or a former associate research fellow of the Academic Sinica, and both with academically distinguished achievements
 - (4) a doctoral degree holder with academically distinguished achievements
 - (5) a person who specializes in an unusual or special discipline with academically or professionally exceptional achievements

The criteria for recognition of the qualification set forth in items (3) and (5) of the preceding subparagraph shall be defined by committee of department/graduate institute affairs.

3. The qualification of a committee member of the Doctoral Qualifying Examination shall be ratified by the President of National Taiwan Ocean University one month before the examination is held, and upon ratification, the letter of appointment may be sent to the qualified committee member.

A committee member of the Doctoral Qualifying Examination should not be a relative by blood or marriage within the third degree of kinship of the student taking part in the Qualifying Examination.

Article 6

Where a doctoral student passes the Doctoral Qualifying Examination, the

department/graduate institute shall notify the Office of Academic Affairs about the matter for registration.

Students who fail the Doctoral Qualifying Examination may apply for a re-examination; however, students who still fail the re-examination will be expelled from the NTOU.

Article 7

Each department/graduate institute should define its regulations for implementation of the Doctoral Qualifying Examination in accordance with the Implementation Guidelines; however, such regulations should be approved in the meeting of department/graduate institute affairs, and thereafter submitted to the Academic Affairs Committee for ratification before it may be promulgated for implementation.

Article 8

The Implementation Guidelines shall be enacted for implementation upon the approval of the Academic Affairs Committee and submission to the Ministry of Education for recordation and reference.

Note : The Implementation Guidelines are enacted in Chinese. In case of any discrepancy between the English version and the Chinese text, the Chinese text shall prevail.